

Vidya Vikas Mandal's
Shree Damodar College of Commerce & Economics, Margao-Goa
SY B.Com, Semester-III, Semester End Examination, November 2023
Indian Capital Market(GE-3)

Duration: 2hrs

Max Marks: 80

Instructions:

1. Start each question on fresh page.
2. Figures to the right indicate maximum marks.

Q1. Write Short Notes on any four of the following:

(4X4) 16

1. Securities Market
2. Investor protection in Indian securities market.
3. Types of investors in primary market
4. IPO v/s FPO
5. Role of clearing corporations
6. Options

Q2. Write Short Notes on any four of the following:

(4X4) 16

1. Screen based trading
2. Significance of stock market indices
3. Forward contracts
4. Features of derivative instruments
5. Investor protection initiatives
6. Classification of merchant bankers as per SEBI

Q3. 1. Describe the Role of SEBI in the Indian Securities Market.

(12)

OR

2. Discuss the role of securities market in the economic development of the country.

(12)

Q4. 1. Describe in detail book building process of pricing of securities in the Primary Market.

(12)

OR

2. Highlight the Regulatory measures provided by SEBI in the Primary Market.

(12)

Q5. 1. Elaborate the Listing process in the Secondary Market. List its advantages to the company and Investors.

(12)

OR

2. Discuss the Risk Management Systems in place in the Secondary Market.

(12)

Q6. 1. What are Derivative Instruments? Describe the advantages and risks of investing in Derivative Instruments.

(12)

OR

2. What are Derivatives? Discuss the different participants in a Derivative Market.

(12)