

Vidya Vikas Mandal's  
Shree Damodar College of Commerce & Economics, Margao-Goa  
FY BCA, Semester-I, Semester End Examination, November 2023  
Fundamentals of Stock Market COM-132 (OA-38, NEP-2020)

**Duration: 2 Hour**

**Max Marks: 60**

- Instructions:** i) All questions are compulsory.  
ii) Figures to the right indicate full marks.  
iii) Start each question on fresh page.  
iv) There is internal choice for question from Q.3 to Q.5.

**Q.1. Answer the following in not more than 40 words. (6x2 Marks = 12)**

- a) Outline the function of any one intermediary in the primary market.
- b) State any one functions of Primary Market.
- c) Enumerate the meaning of Delisting of Securities.
- d) Define Speculative Trading.
- e) Recall the concepts of 'Pay In' and 'Pay Out' in the context of securities.
- f) Define any one type of Stock Market Indices.

**Q.2. A. Outline the challenges of New Issues Market. (06 Marks)**

**Q.2. B. Define Listing of Securities and enumerate its advantages. (06 Marks)**

**Q.3. A. Explain the Book Building Process. (06 Marks)**

**Q.3. B. Discuss the SEBI measures for the secondary market. (06 Marks)**

**OR**

**Q.3. C. Summarize the meaning of Clearing and Settlement system and the participants involved in the Clearing and Settlement process in Indian Stock Exchanges. (06 Marks)**

**Q.4. A. Discuss SEBI's measures in the primary market, specifically concerning to the protection and benefits of investors. (06 Marks)**

**Q.4. B. Explain the functions of Secondary Market. (06 Marks)**

**OR**

**Q.4. C. Summarize the different types of market. (06 Marks)**

**Q.5. A. Consider a technology startup seeking funds to expand its operations. Illustrate the different viable methods for floating capital in this scenario. (06 Marks)**

**Q.5. B. The NSE faced a technical glitch in 2021 that led to a trading halt for several hours. Interprets the defects in working of Indian stock exchanges. (06 Marks)**

**OR**

**Q.5. C.** The investor strategically employs different order types to minimize risk and capitalize on price movements. Illustrate the different types of orders in stock markets that an investor uses under different circumstances. **(06 Marks)**